

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

2842.02US01

US APPLICATION NO. (If  
known, see 37 CFR 1.5)

09/762153

INTERNATIONAL APPLICATION NO.

PCT/JP99/04164

INTERNATIONAL FILING DATE

03 August 1999

PRIORITY DATE CLAIMED

03 August 1998

TITLE OF THE INVENTION

DRIVING APPARATUS EQUIPPED WITH MOTOR AND DECELERATING MECHANISM

APPLICANT(S) FOR DO/EO/US

Katsuhiko TORII, Hiroaki YAMAMOTO, Shinji OKA

Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is the **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371 (f)) at any time rather than delay examination until the expiration of the applicable time limits set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made.

8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: International Search Report and Preliminary Examination Report, (Cited references are with the attached PTO 1449), Front Page of PCT Published Application, and Return Postcard

17. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5))**

Search Report has been prepared by the EPO or JPO.....\$860.00

International preliminary examination fee paid to USPTO  
(37 CFR 1.482).....\$690.00

No international preliminary examination fee paid to USPTO  
(37 CFR 1.482) but international search fee (37 CFR 1.445(a)(2))  
paid to USPTO.....\$710.00

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to  
USPTO.....\$1,000.00

International preliminary examination fee paid to USPTO  
(37 CFR 1.482) and all claims satisfied provisions of  
PCT Article 33(2)-(4).....\$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of \$130.00 for furnishing the oath or declaration later  
than ☐ 20 ☐ 30 months from the earliest claimed priority  
date (37 CFR 1.492(e))

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	32 - 20 =	12	X \$18.00	\$216.00	
Independent claims	3 - 3 =	0	X \$80.00	\$0.00	
Multiple Dependent Claim(s) (If applicable)			X \$270.00	\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,056.00</b>	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27,				\$	

**CALCULATIONS (PTO USE ONLY)**

**\$860.00**

**\$0.00**

1.28)		
<b>SUBTOTAL =</b>	<b>\$1,056.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>	<b>\$1,056.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property	<b>\$40.00</b>	
<b>TOTAL FEES ENCLOSED =</b>	<b>\$1,096.00</b>	
	<b>Amount to be:</b>	<b>\$</b>
	<b>refunded</b>	
	<b>charged</b>	<b>\$</b>

a. ☒ A check in the amount of \$1,096.00 to cover the above fees is enclosed

b. ☐ Please charge my Deposit Account No. **16-0631** in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

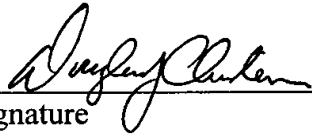
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0631. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

**SEND ALL CORRESPONDENCE TO:**

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Signature

Douglas J. Christensen  
Name

35,480  
Registration Number

February 1, 2001  
Date

16-0631-25129460

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PCT RECEIVING OFFICE (RO/US)

In re Application of: Torii et al.

Int'l App. No.: PCT/JP99/04164

Atty Ref. No.: 2842.02US01

Int'l Filing Date: August 3, 1999

Title: DRIVING APPARATUS EQUIPPED WITH MOTOR DECELERATING  
MECHANISM

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SUBMISSION OF DOCUMENTS

Box PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Enclosed for filing in the above-identified application are the following documents:

1. Certificate of Express Mailing;
2. Transmittal Letter to the United States Designated/Elected Office
3. (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371;
4. An English copy of the International Application as filed;
5. A copy of the PCT Request;
6. International Search Report with references;
7. International Preliminary Examination Report (with English translated annexes);
8. Front Page of PCT Publication No. WO00/08350;
9. Executed Declaration and Power of Attorney;
10. Information Disclosure Statement;
11. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
12. Our check in the amount of \$1,096.00 ; and
13. Postcard Receipt.

The Commissioner is authorized to charge to Deposit Account No. 16-0631 any underpayments, overpayments or additionally required fees.

Respectfully submitted,



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09/762153  
JUL Rec'd PCT/PTO 01 FEB 2001

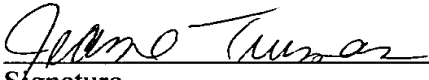
Attorney Docket No. 2842.02US01

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that this document is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope with the mailing label no. EL671575675US addressed to: BOX PCT, Assistant Commissioner for Patents, Washington, D.C. 20231 on

February 1, 2001  
Date of Deposit

Jeanne Truman  
Name of Person Signing Certificate

  
Signature